

## Unit Transfer Form - Unlisted Funds

A member of AIMS Financial Group

Please use block letters and complete all sections of this form.

1. Fund Name	Full Name of fund in which units are held						
2. Unit Class	Class (for example Ordinary, Retail, Wholesale etc.)						
3. Quantity of Units	Words	Figures					
4. Full registered name(s) of Transferor(s)	Individual name(s)/Company name/Estate name/Trustee details Transferor 1 : ..... Transferor 2 : ..... Transferor 3 : .....						
5. Transferor(s) Investor ID	<table border="1" style="width: 100%; height: 20px;"> <tr> <td style="width: 20%;"></td> <td style="width: 20%;"></td> <td style="width: 20%;"></td> <td style="width: 20%;"></td> <td style="width: 20%;"></td> </tr> </table>						
6. Full name(s) of Transferee(s)	Individual name(s)/Company name/Trustee details Transferee 1 : ..... DOB : .....(DD/MM/YY) Transferee 2 : ..... DOB : .....(DD/MM/YY) Transferee 3 : ..... DOB : .....(DD/MM/YY)						
7. Full residential address of Transferee/or registered address if a Company	Street address: ..... ..... Suburb/Town: ..... State:..... Postcode: ..... Country: .....(if not Australia)						

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323 Castlereagh Street, Sydney NSW 2000 Australia

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**Melbourne Office:** Level 9, 350 Collins Street, Melbourne Victoria 3000 Australia

PO Box 18070 Collins Street East, Melbourne Victoria 8003 Australia

Email: mail@macarthurcook.com.au Website: www.macarthurcook.com.au

**MacarthurCook Pty Limited**

ABN 64 009 110 463

**MacarthurCook Fund Management Limited**



ABN 79 004 956 558 AFS Licence No: 258052

**MacarthurCook Investment Managers Limited**

ABN 45 099 054 074 Licence No: 225357 SEC No: 801-66388

**MacarthurCook Real Estate Funds Limited**

ABN 32 126 766 167 AFSL No: 318261

8. Postal Address	<input type="checkbox"/> Same as residential/registered address OR Street address: ..... ..... Suburb/Town: ..... State: ..... Postcode: ..... Country: .....(if not Australia)														
9. Country of Residence for Taxation purposes (if other than Australia)	Country of residence: .....														
10. TFN - Tax File Number	Applicant 1 TFN : ..... Or Exemption* : ..... Applicant 2 TFN : ..... Or Exemption* : ..... Applicant 3 TFN : ..... Or Exemption* : ..... * If exempt, please specify reason. If due to pension or allowance, please state full name of benefit (e.g, age pension)														
11. Distribution method	How would you like to receive your distribution: Reinvest <input type="checkbox"/> Direct Credit <input type="checkbox"/> (To your nominated bank account - See Question 12)														
12. Financial institution details	<table border="0"> <tr> <td>Bank/Building Society/Credit Union</td> <td>Account Name</td> </tr> <tr> <td>.....</td> <td>.....</td> </tr> <tr> <td>Branch Number (BSB)</td> <td>Account Number</td> </tr> <tr> <td>.....</td> <td>.....</td> </tr> <tr> <td>Address</td> <td></td> </tr> <tr> <td>.....</td> <td></td> </tr> <tr> <td>.....</td> <td></td> </tr> </table>	Bank/Building Society/Credit Union	Account Name	.....	.....	Branch Number (BSB)	Account Number	.....	.....	Address		.....		.....	
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.....	.....														
Branch Number (BSB)	Account Number														
.....	.....														
Address															
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.....															
13.	Is the transferee a company? Yes <input type="checkbox"/> No <input type="checkbox"/>  Go to Question 18														
14. Transferee ACN or ARBN (if a Company)	ACN/ABN/ARBN .....														
15.	Is the transferee a proprietary company? Yes <input type="checkbox"/> No <input type="checkbox"/>  Go to Question 18														



No - provide beneficiary details

Beneficiary 1 .....

Beneficiary 2 .....

Beneficiary 3 .....

Beneficiary 4 .....

**21. Verification (attach required documents)**

Please attach certified copy of these documents:  
(NOTE: Method of certification set out below under the heading "Certification")

	Required Document/s	Document/s Attached (please tick)
Individual/joint transferees	(1) Certified copy of an Australian Drivers License	<input type="checkbox"/>
	<b>OR</b>	
	(2) An Australian Passport for each individual transferee	<input type="checkbox"/>
Australian Company Transferees	Certificate of registration issued by ASIC.	<input type="checkbox"/>
Foreign Company Transferees	Certificate of registration issued by ASIC (if registered with ASIC) or the relevant foreign registration body of the place of incorporation.	<input type="checkbox"/>
Trust Transferees	(1) A certified copy of either the trust deed	<input type="checkbox"/>
	<b>OR</b>	
	(2) A notice of assessment issued by the ATO	<input type="checkbox"/>
	<b>OR</b>	
	(3) A letter from a solicitor or qualified accountant confirming the name of the trust or the trust deed.	<input type="checkbox"/>

I/We the registered holder(s) and undersigned transferor(s) do hereby transfer to the above named transferee(s) the units as specified above standing in my/our name(s) in the register of the above fund, subject to the several conditions on which i/we held the same at the time of signing hereof and I/we the transferor(s) do hereby agree to accept the above units subject to the same conditions.  
I/We have not received any notice of revocation of the Power of Attorney by death of the grantor or otherwise, under which this transfer is signed (if applicable).

**22. All Transferor(s) must sign here**

Transferor 1 <input style="width: 100%; height: 20px;" type="text"/> <small>Director/Sole Director and Sole Company Secretary*</small>	Transferor 2 <input style="width: 100%; height: 20px;" type="text"/> <small>Director/Company Secretary*</small>	Transferor 3 <input style="width: 100%; height: 20px;" type="text"/> <small>Sole Director (No Company Secretary*)</small>
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Day      Month      Year

\*By Signing here I, ..... (Name in full)

Warrant that, pursuant to the Corporations Act, the company does not have a Company Secretary and that as the Sole Director I am authorised by the company to complete this form.

\*Delete whichever does not apply

22. All Transferee(s) must sign here

Transferee 1

Director/Sole Director and Sole Company Secretary\*

Transferee 2

Director/Company Secretary\*

Transferee 3

Sole Director (No Company Secretary\*)

Day                      Month                      Year

\*By Signing here I, .....  
(Name in full)

Warrant that, pursuant to the Corporations Act, the company does not have a Company Secretary and that as the Sole Director I am authorised by the company to complete this form.

\*Delete whichever does not apply

## Certification

Identity verification documents may be certified as a true and correct copy of an original document by one of the following persons in Australia. Please ensure that each page of the relevant document(s) is certified. The person certifying must state their capacity (from the list below) and state on each page that the document is a 'true and correct copy of the original!'

- Justice of the Peace
- Police officer
- Officer with two or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993)
- Finance company officer with two or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993)
- Officer with, or authorised representative of, a holder of an Australian financial services license, having two or more continuous years of service with one or more licensees
- Member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with two or more years of continuous membership i.e an accountant
- Judge of a court
- Magistrate
- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described), i.e an Australia lawyer
- Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- Permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public
- Chief executive officer of a Commonwealth Court
- Registrar or deputy registrar of a court
- Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
- Notary public (for the purposes of Statutory Declaration Regulations 1993)